

**Process-oriented evaluation
of UT cloud parameterizations
using a Cloud System Concept**
Example: Bulk ice scheme in LMDZ model

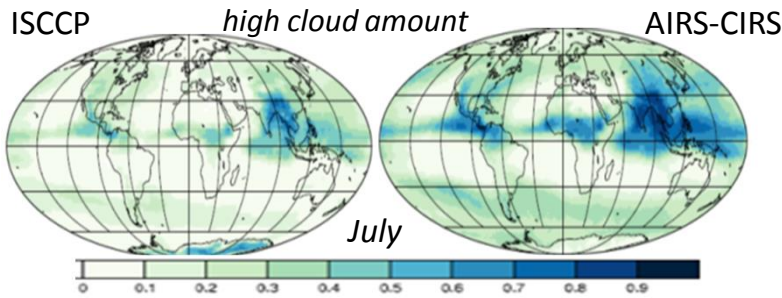
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Ionela Musat, Sofia Protopapadaki (-2017)**

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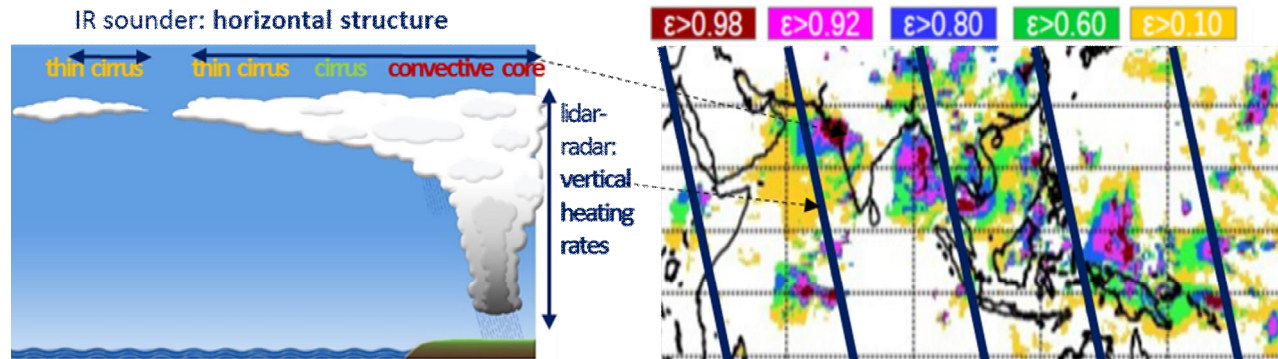
*Monsoon Clouds over Bangladesh
(Archive: NASA, International Space Station)*

Clouds from IR Sounder (CIRS) -> Cloud System Concept



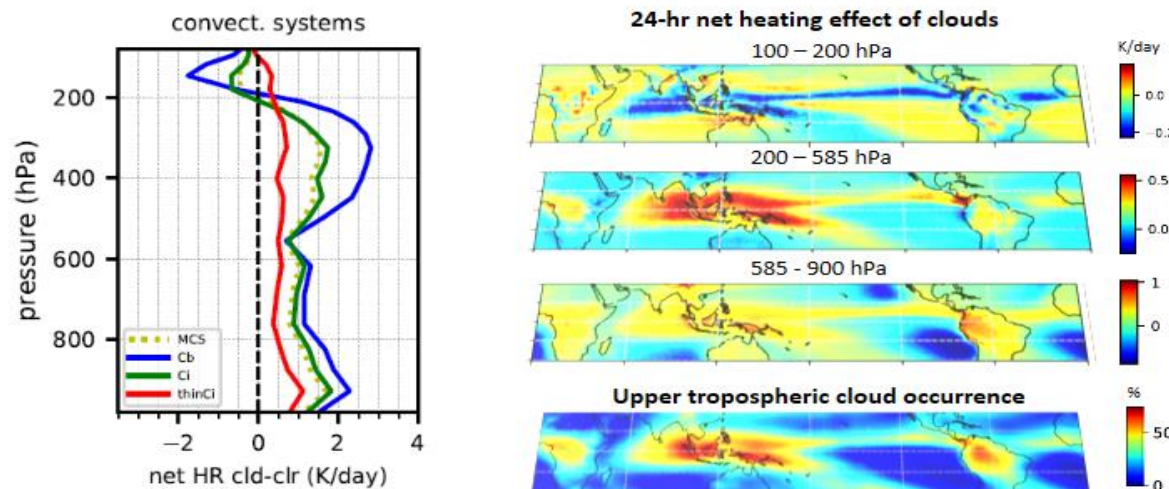
- long time series (HIRS, AIRS, IASI) & good areal coverage
- **good IR spectral resolution -> sensitive to cirrus**
similar performance day & night, $COD_{vis} > 0.2$, also above low clouds
(Stubenrauch et al., ACP, 2017)

cloud systems built from adjacent grid cells with similar p_{cld} ; convective cores & cirrus anvil from ϵ_{cld}
(Protopapadaki et al., ACP, 2017)



grid cell resolution 0.5° ,
sub-grid Cb, Ci, thin Ci fractions
can be adapted to other resolutions
(from 0.25° to GCM resolution)

3D cloud systems from Machine Learning trained on CALIPSO-CloudSat (HR, DZ, RR) / TRMM (LH)



(Stubenrauch, Caria et al., ACP, 2021)

- Cloud System Concept + 3D HR fields :**
- 1) relation between convection – cirrus anvil
 - 2) process-oriented GCM evaluation
 - 3) dynamical response to atmospheric heating

Bulk ice cloud scheme (v_m & D_{eff}) in a GCM

Cirrus bulk properties = mass- or area-weighted integrals of particle size distribution (PSD)

$$m = a D^b \quad A = c D^d \quad \text{coefficients depend on ice crystal habit \& size}$$

- Fall speed & ice crystal size distribution impact cirrus life time & radiative effects

$$\varepsilon_{\text{cl}} = f(D_{\text{eff}}, \text{IWC})$$

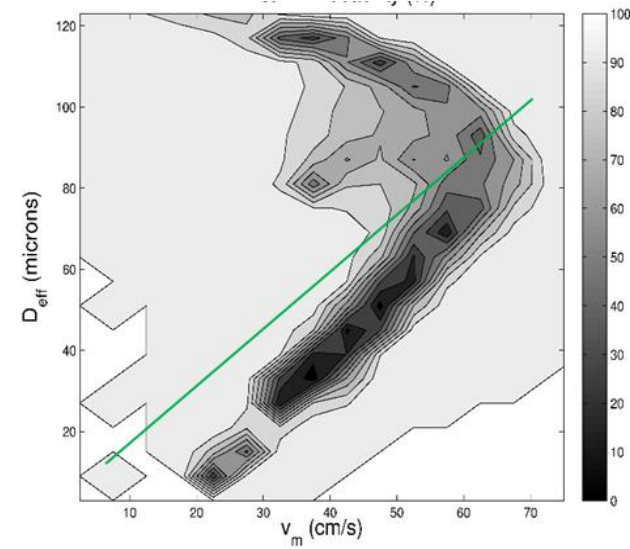
Goal: construct bulk ice cloud scheme which coherently treats ice cloud physics & radiation from reviewed existing parameterizations

Thermodynamics dictates presence of ice clouds

ice phase controlled by T & IWC by relative humidity

-> $v_m, D_{eff} = f(\text{IWC}, T)$ as suggested by airborne & in situ observations

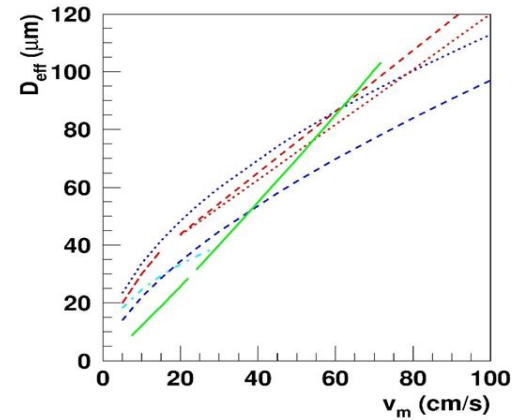
Current version of LMDZ: $v_m = f(\text{IWC}), D_{eff} = f(T)$
 v_m tuned to achieve radiative balance (x 0.3)



Synthesis : v_m & $D_{eff} = f(T, IWC)$

Stubenrauch, Bonazzola et al., JAMES 2019

v_m & D_{eff} are closely related,
as they both depend on ice mass / ice area

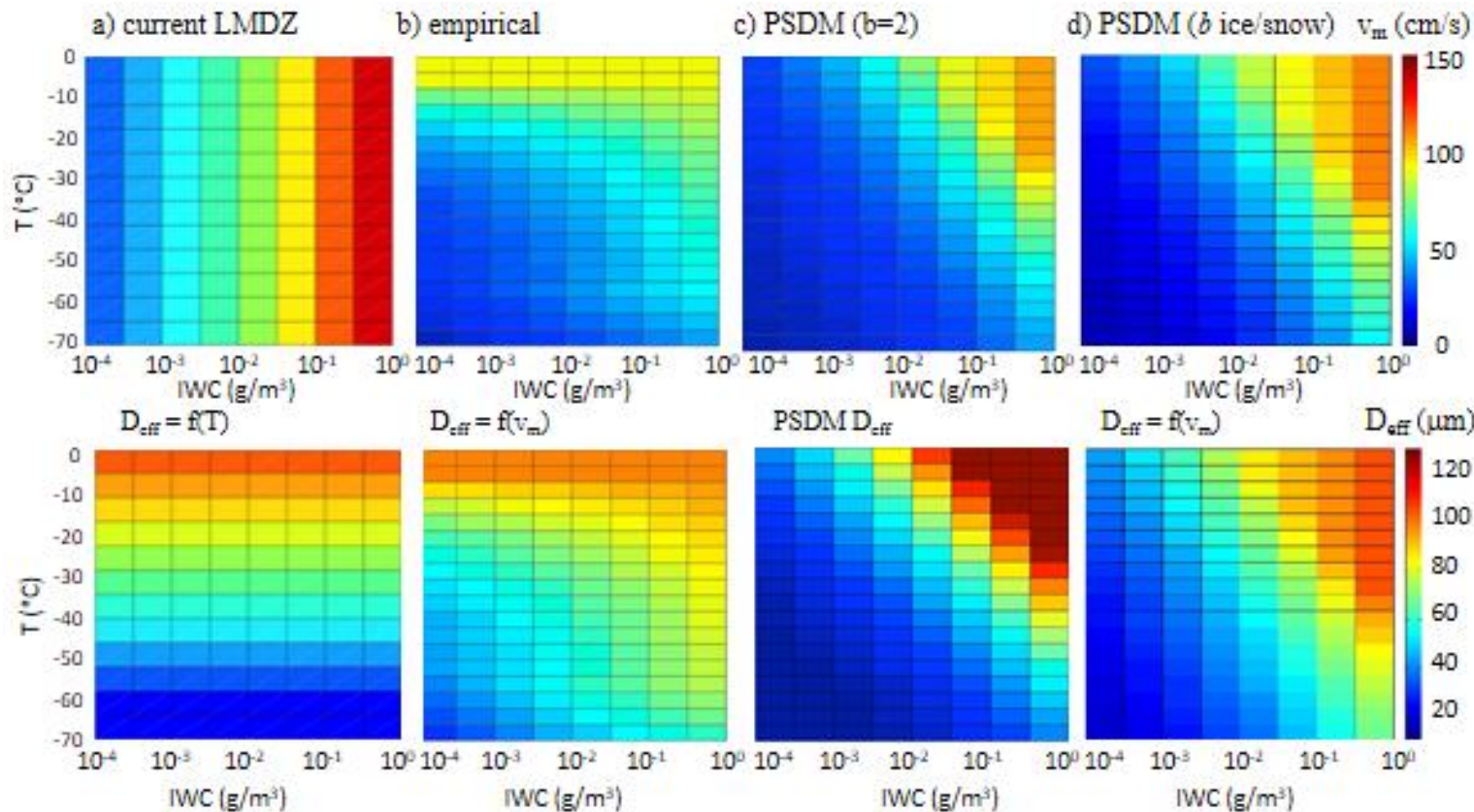


from field campaigns

- trop. anvil H03 - - - - midlat. Ci H03
- trop. anvil M11 - - - - Arctic M11
- trop. & midlat. F07-F15-B16

empirical : $v_m = f(IWC, T)$ Deng & Mace 2008 / Schmitt & Heymsfield 2009; $D_{eff} = f(v_m)$

v_m, D_{eff} from moments of PSD, parameterized as $f(IWC, T)$ Field et al. 2007 / Furtado et al. 2015 / Baran et al. 2016



v_m

D_{eff}

Tuning parameters most relevant for high clouds

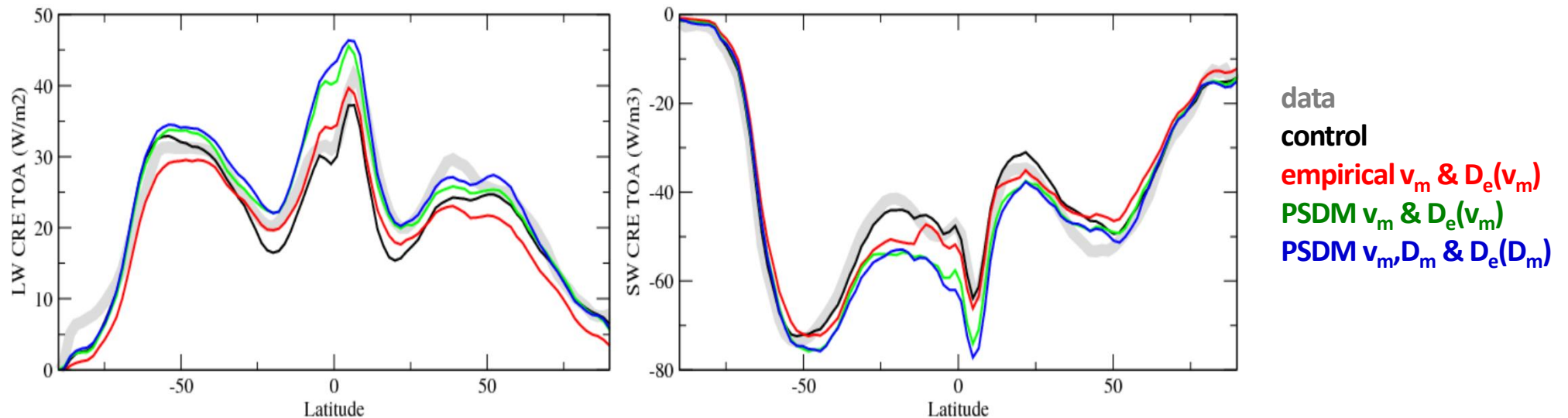
| | FALLICE | EPMAX | RQH |
|------------------------------------|---------|--------|------|
| CNTRL | 0.3 | 0.9985 | 0.40 |
| (a) New parameterizations | | | |
| Empirical v_m and $D_{eff}(v_m)$ | 0.9 | 0.9990 | 0.08 |
| PSDM v_m and D_{eff} | 0.9 | 0.9988 | 0.11 |
| PSDM v_m and $D_{eff}(v_m)$ | 0.9 | 0.9988 | 0.11 |
| (b) Sensitivity studies | | | |
| FALLICE+ | 0.5 | 0.9985 | 0.40 |
| RQH- | 0.3 | 0.9985 | 0.10 |
| EPMAX+ | 0.3 | 0.9990 | 0.40 |
| Scaled PSDM v_m | 0.3 | 0.9985 | 0.40 |

FALLICE: scaling of fall speed

EPMAX: maximum precipitation efficiency

RQH: rel. width of sub-grid water distribution above 250 hPa (*ratqsh*)

In order to introduce realistic fall speed, RQH had to be reduced by a factor of 4



PSDM parameterization & tuning -> slightly too absorbing & too reflective in the tropics

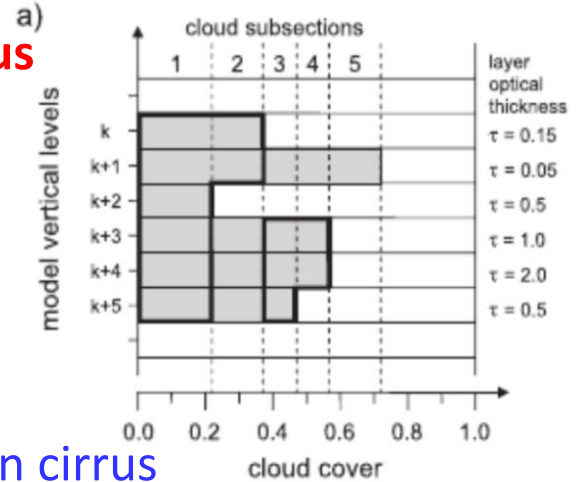
New diagnostics using CIRS cloud observation simulator

IR Sounders provide cloud height p_{cld} & emissivity ε_{cld} ; sensitive to cirrus

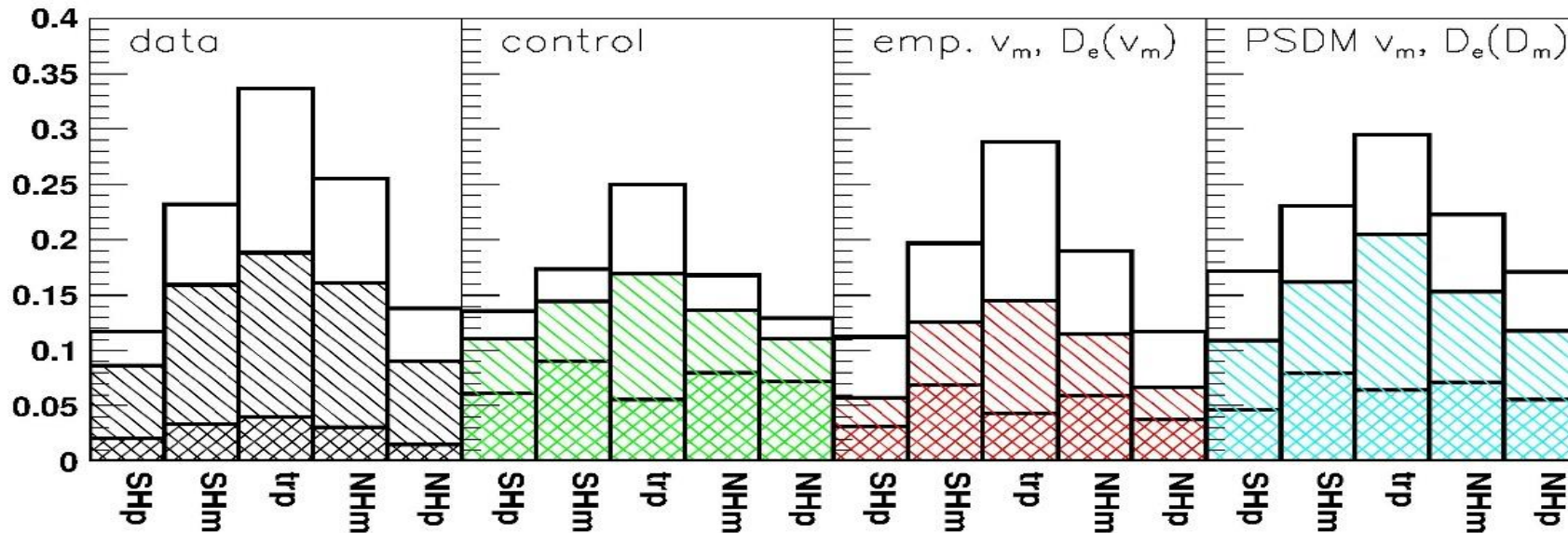
- construct clouds from vertically contiguous cloudy layers
- clouds divided into sub-sections of similar vertical structure
- keep only sub-sections with IR optical depth > 0.1
- filter observation times: 1:30AM, 9:30AM, 1:30PM, 9:30PM LT

-> *total & high cloud cover, p_{cld} , T_{cld} , ε_{cld} , z_{cld} , fraction of Cb, Ci, thin Ci*

advantages: allows to evaluate i) sub-grid fractions of Cb, cirrus & thin cirrus
ii) diurnal cycle of UT cloud properties



UT cloud cover & its composition (Cb, Ci, thin Ci)



Control simulation too few high clouds with too many Cb
New bulk ice schemes -> increased high clouds, with more Ci & thin Ci,
in better agreement with observations

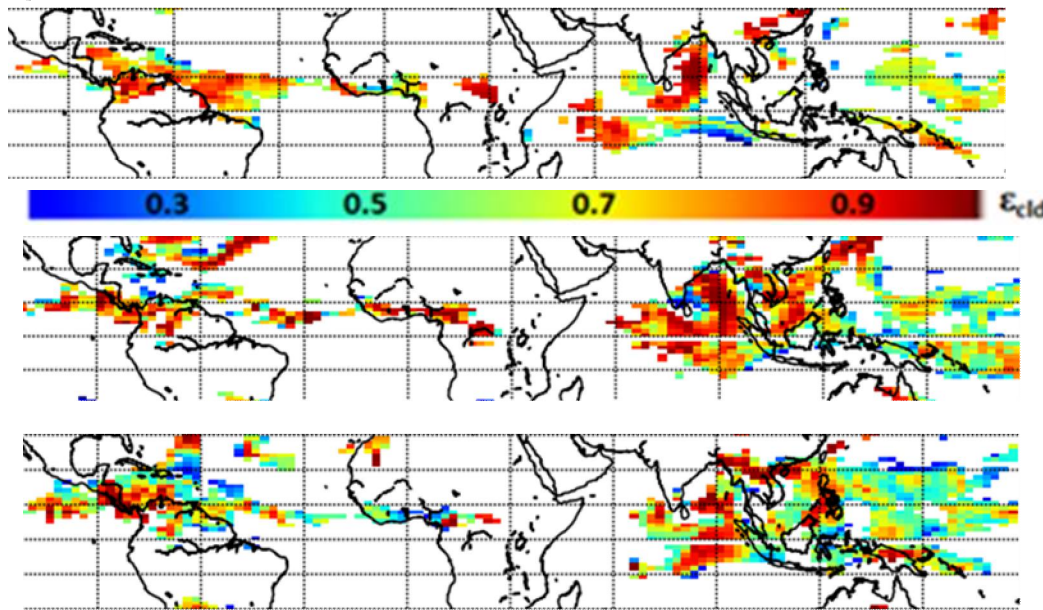
UT Cloud System Concept to assess GCM parameterizations

Cloud System Concept relates anvil properties to processes shaping them

-> process-oriented evaluation of detrainment / convection / microphysics parameterizations

Example: Towards coherent bulk ice cloud scheme deduced from thermodynamics in LMDZ

spatial res. 2.5° x 1.25°



Current LMDZ model: $v_m = f(\text{IWC}), De = f(T)$
 v_m tuned to achieve balance (x 0.3)

observations: $v_m = f(\text{IWC}, T), De = f(\text{IWC}, T)$

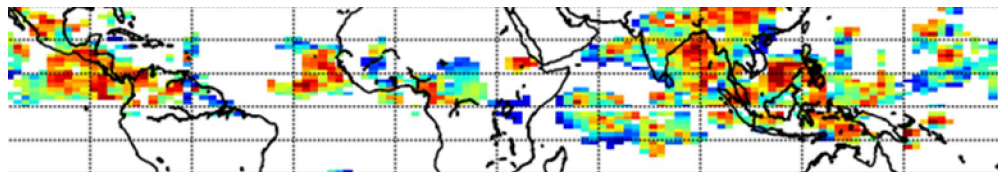
empirical: $v_m = f(\text{IWC}, T), De = f(v_m)$

Deng & Mace (2008), Heymsfield et al. 2003

PSDM: v_m, De from moments of ice crystal size distributions as $f(\text{IWC}, T)$

Field et al. (2007), Furtado et al. 2015, Baran et al. 2016

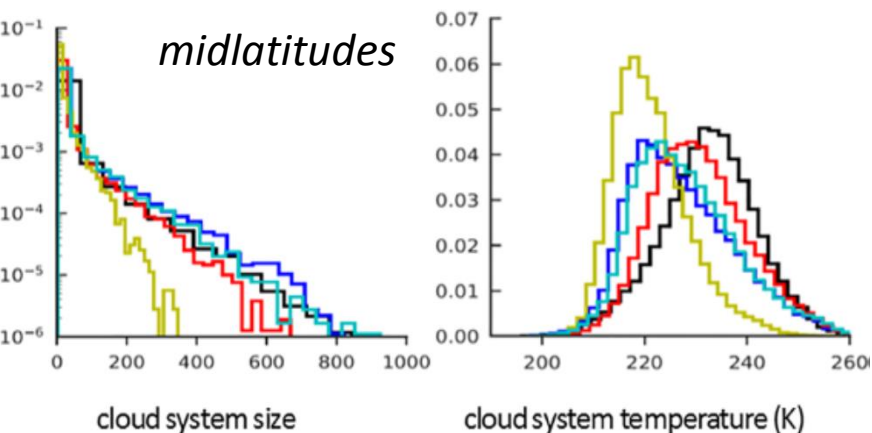
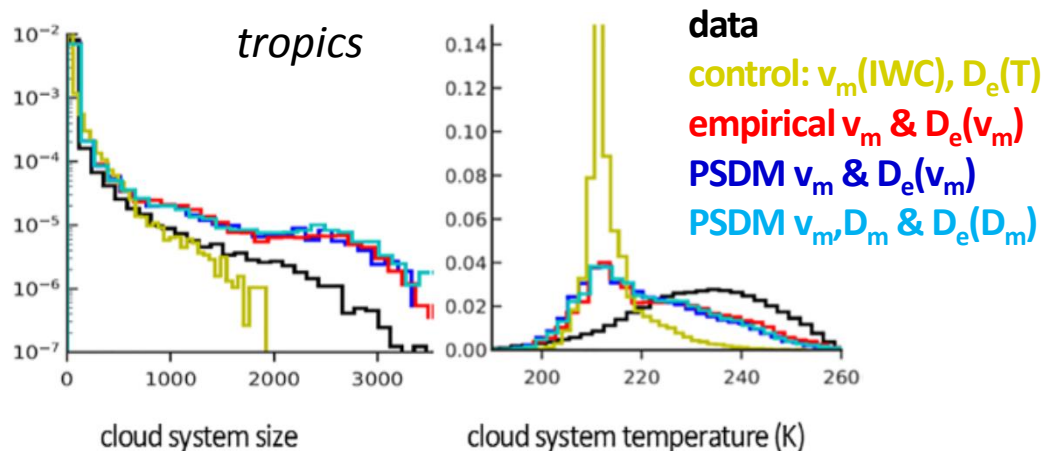
horizontal cloud system emissivity structure sensitive to v_m, De



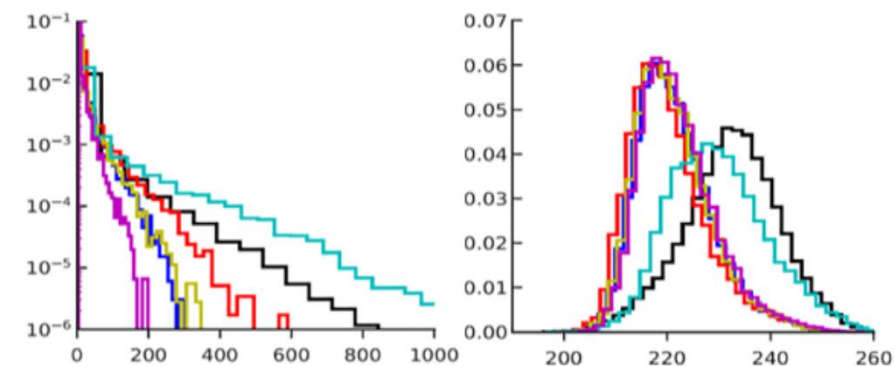
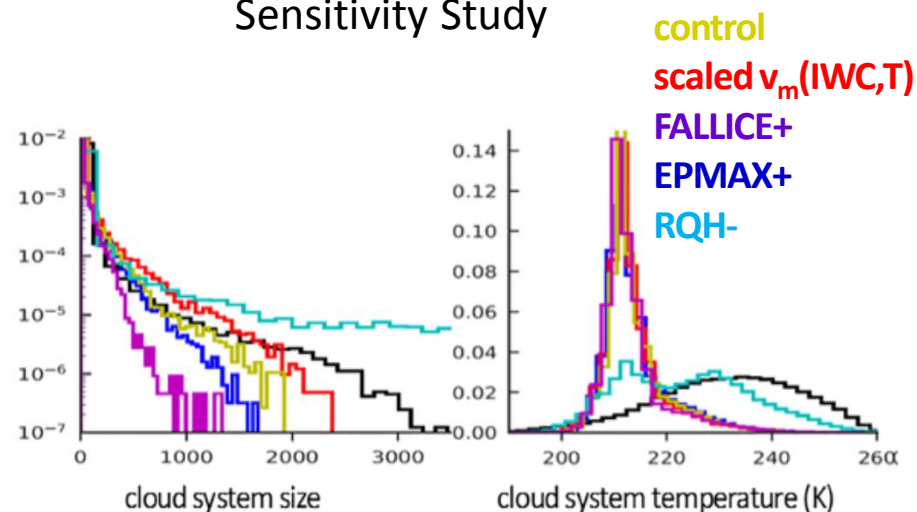
AIRS snapshot 3 July 2008 AM

UT cloud system statistics

New ice schemes



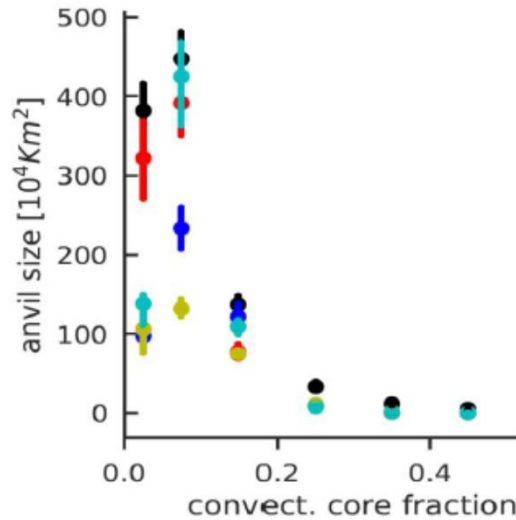
Sensitivity Study



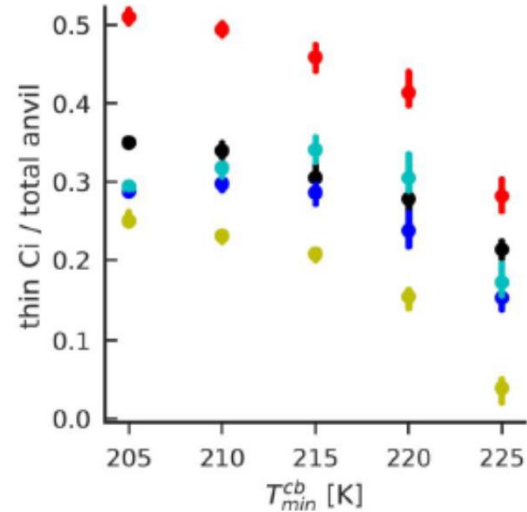
New parameterizations -> improvement of cloud system property distributions

Introduction of IWC-T dependence -> improvement of cloud system size distribution
 Decrease of RQH -> improvement of cloud system T distribution

process-oriented UT cloud system behavior



← increasing age of system



← increasing convective depth

data

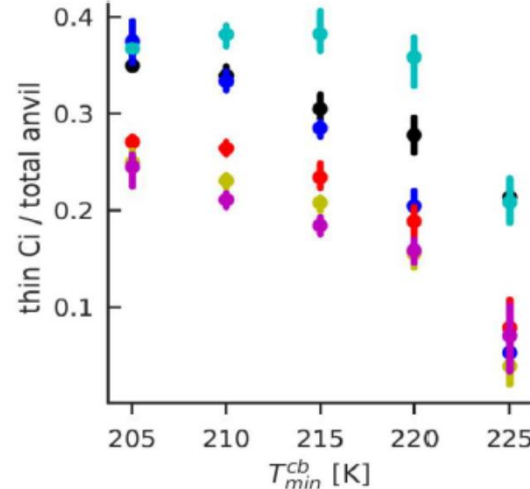
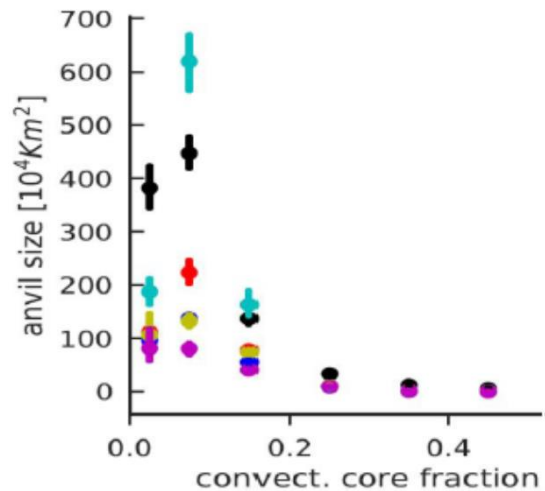
control $v_m = 0.3 \times f(\text{IWC})$

$D_e = f(T)$

empirical $v_m(\text{IWC}, T)$ & $D_e(v_m)$

PSDM v_m & $D_e(v_m)$

PSDM v_m & D_e



Sensitivity studies

data

control

scaled PSDM v_m

FALLICE+

EPMAX+

RQH-

New process-oriented diagnostics based on Cloud System Concept powerful constraint:
 more realistic $v_m - D_{\text{eff}}$ -> more realistic anvil size & ε horizontal structure (increasing thin Ci) development
 Tuning adjustment of UT sub-grid water variability (RQH) -> larger anvils & more thin cirrus

Summary & Outlook

- **bulk ice cloud schemes should coherently couple v_m (cloud physics) & D_{eff} (cloud radiative effects)**
-> realistic v_m -> adjusted tuning -> UT water sub-grid variability had to be reduced for radiation balance
- **$v_m = f(\text{IWC}, T)$ instead of $f(\text{IWC})$; D_{eff} is now directly linked to v_m (or to same size distribution)**
- **Cloud System diagnostics provides powerful constraints:**
new bulk ice schemes -> larger cloud systems & slightly less emissive anvils, in better agreement with CIRS observations
- **Cloud System Concept links anvils to convection, allows process-oriented evaluation:**
behavior of anvils with increasing convective depth & along statistical life cycle
-> *new bulk ice schemes seem to improve this behavior*

Stubenrauch, C. J., Bonazzola, M., Protopapadaki, S. E., & Musat, I., **New cloud system metrics to assess bulk ice cloud schemes in a GCM.** J. Advances in Modeling Earth Systems, 11, doi:10.1029/2019MS001642, 2019

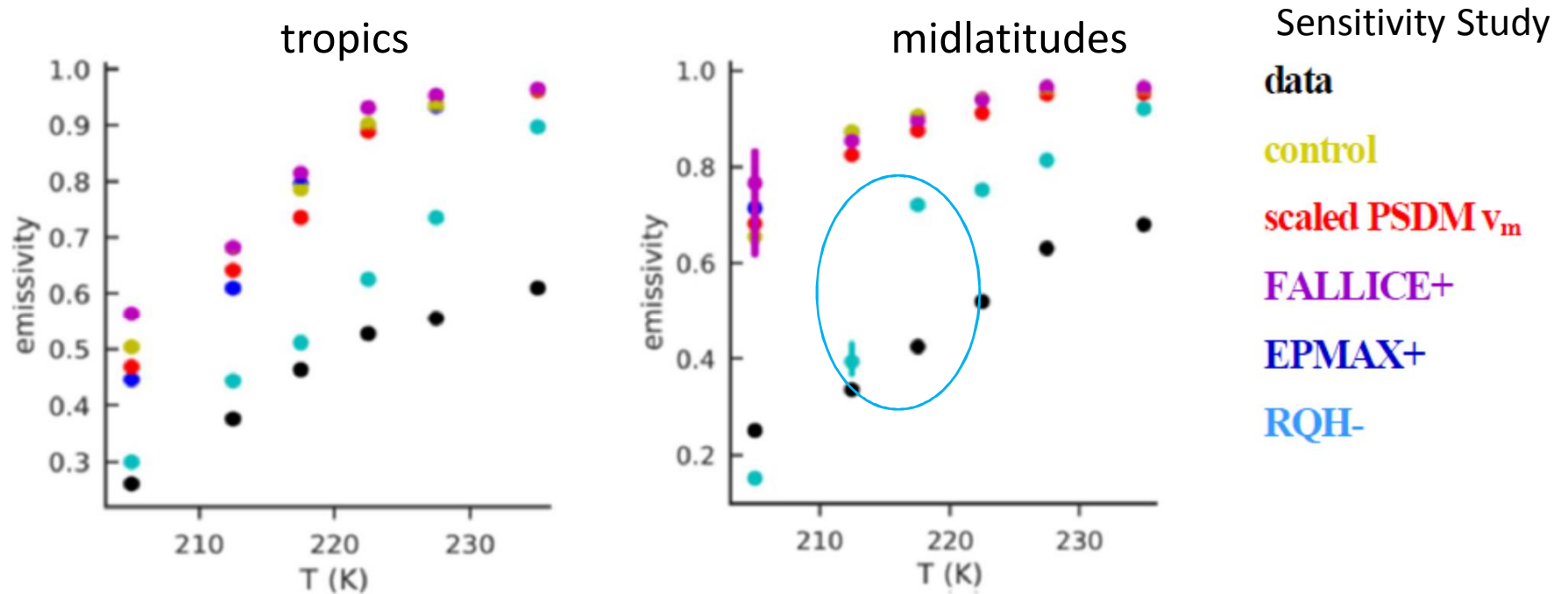
- **Replace D_e directly by $\beta_{\text{ext}}, \omega_0, g(\lambda, \text{IWC}, T)$ of Baran et al. 2016 :**
 - *Fits adapted to bandwidths of LMDZ RT & integrated into LMDZ code*
 - **tuning & simulation; save radiative heating rates to output for evaluation with**

radiative heating rates deduced from A-Train Observations & Machine Learning

Stubenrauch, C. J., Caria, G., Protopapadaki, S. E., & Hemmer, F., **3D Radiative Heating of Tropical Upper Tropospheric Cloud Systems derived from Synergistic A-Train Observations and Machine Learning,** Atmos. Chem. Phys., 21, doi:10.5194/acp-21-1015-2021, 2021

- next step: improve formulation of sub-grid UT rel. water variability (RQH threshold) using AIRS climatology of Kahn et al. 2009, 2011

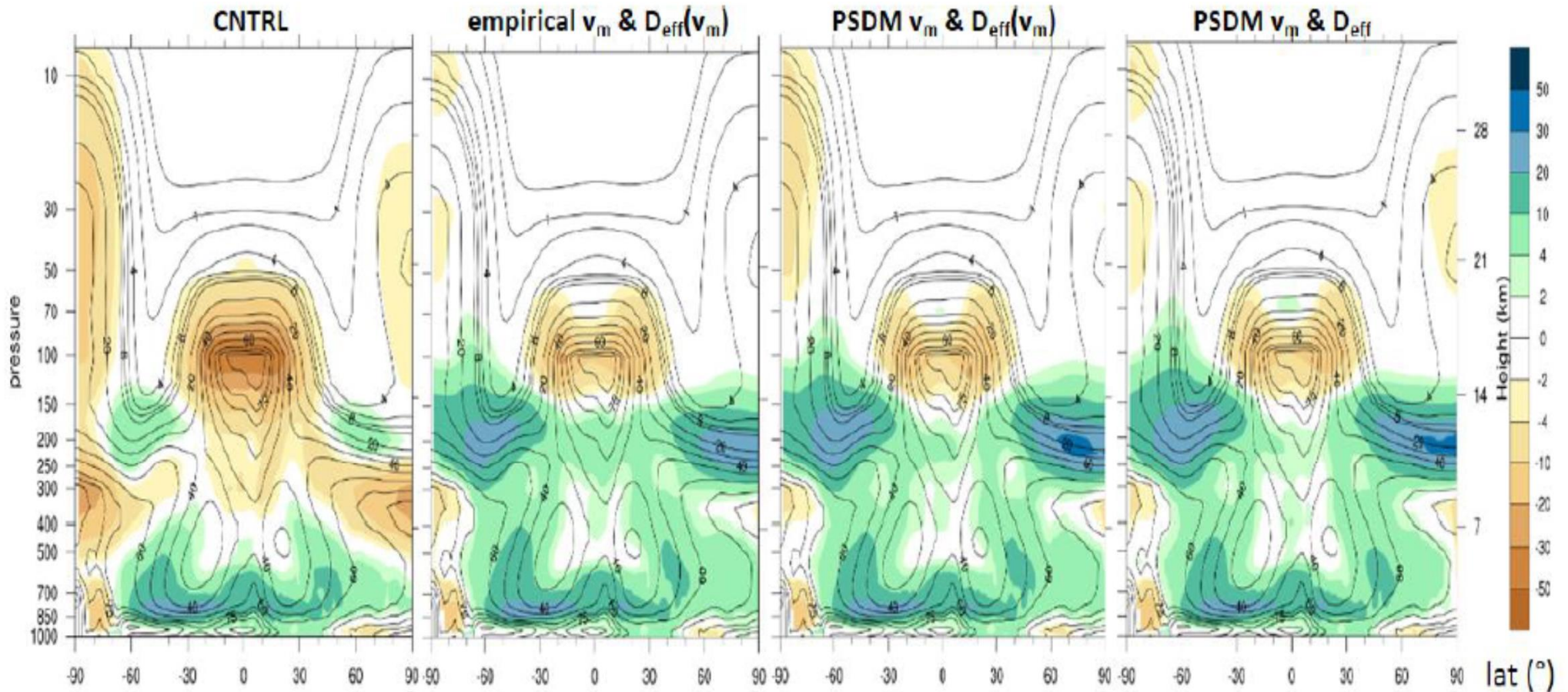
$\varepsilon - T$ relation of UT cloud systems



- Decreasing RQH leads to smaller cloud system emissivity at colder T, in better agreement with the data
- **Midlatitudes: height at which RQH is applied should be different than in tropics (250 hPa)**

spare slides

Atmospheric Humidity changes



rel. humidity profiles compared to ERA-Interim:
new parameterizations less dry in tropical but too wet in higher latitude upper troposphere
=> RQH should depend on latitude / season & land / ocean

Analytical expressions: $D \rightarrow$ bulk properties

PSD generally expressed as :

$$N(D) = N_0 D^\mu e^{-\lambda D}$$

D maximum dimension ice crystals, λ slope, μ dispersion; exponential PSD: $\mu=0$

decrease in $\lambda \rightarrow$ PSD broadening;

PSD bends down for smaller crystals, when $\mu > 0$

Cirrus bulk properties = mass- or area-weighted integrals of PSD,

with

$$m = a D^b$$

$$A = c D^d$$

$b=3$ for sphere, $b = 2$ for aggregates, $b = 1.5$ for dendrites

$$IWC = \int m(D) N(D) dD = \int a N_0 D^{b+\mu} e^{-\lambda D} dD = a N_0 \Gamma(b+\mu+1)/\lambda^{b+\mu+1}$$

$$D_m = \int D^3 N(D) dD / \int D^2 N(D) dD = (b+\mu+0.67)/\lambda \text{ Mitchell et al. 1991}$$

coefficients depend on ice crystal habit & size, can they be assumed to be constant with T ?
Field 2007 supposes aggregates ($b = 2$) in PSD moment parameterization

$$v_t \sim (m/A)^{0.6} D^{0.3} f(p)$$

$$v_t = A D^B$$

$$v_m = \int m(D) v_t(D) N(D) dD / \int m(D) N(D) dD$$

A & B for 3 D ranges
(Heymsfield et al. 2013)

A & B for 2 D ranges
(Furtado et al. 2015)

$$v_m = A D_m^B \text{ Heymsfield et al. 2013}$$

PSD moment parameterization

Field et al. 2007 (F07): 13000 PSDs, of 4 field campaigns (tropics & midlatitudes)

$$M_n = \int D^n N(D) dD = A(n) * e^{B(n)*T} * M_2^{C(n)}$$

$$M_2 = IWC / a \quad D_m = M_3 / M_2 = a M_3 / IWC \quad v_m = A D_m^B \quad \text{---}$$

$$v_m = A M_B$$

ice : $A = 1042 / B = 1.0$ (SI units)

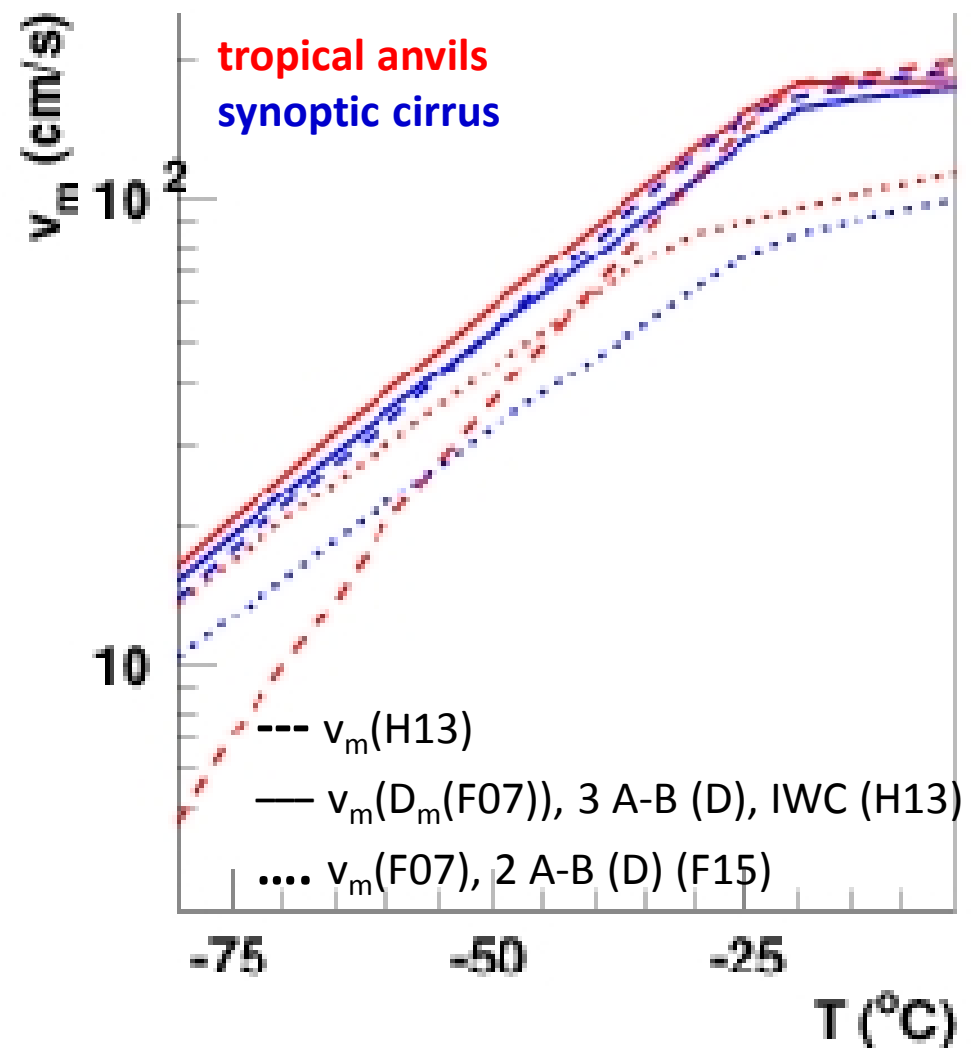
snow : $A = 14.3 / B = 0.416$

for each D the smallest v_t of both:

ice $D < 600 \mu\text{m}$ & snow $D > 600 \mu\text{m}$

Furtado et al. 2015 (F15)

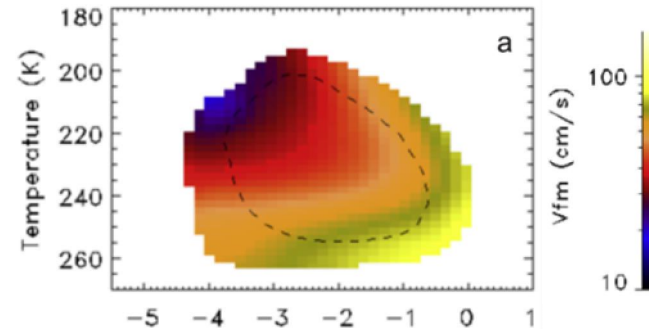
slope of v_m (F07-H13) & (F07-F15)
 same for tropical anvils & synoptic
(parameterization combines measurements)
 compares well with synoptic cirrus of H13
 2 A-B instead of 3 A-B : smaller v_m
 max values at 100 cm/s



Empirical parameterizations : $v_m = f(T, IWC)$

- **Heymsfield et al. 2007 (H07):** 20000 PSDs from 2 field campaigns
tropical anvils ($T > -70^\circ\text{C}$) & synoptic cirrus ($T > -54^\circ\text{C}$)
- **Deng & Mace 2008 (DM08):** from longterm ARM in situ statistics,
retrieved from radar measurements; 1999-2005 -> 30000 hrs
convective: TWP ARM ($T > -75^\circ\text{C}$) synoptic: SGP ARM ($T > -65^\circ\text{C}$)

similar behaviour

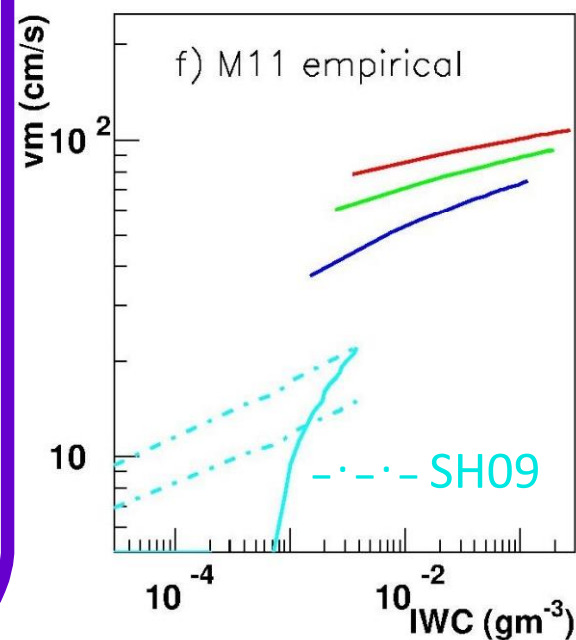
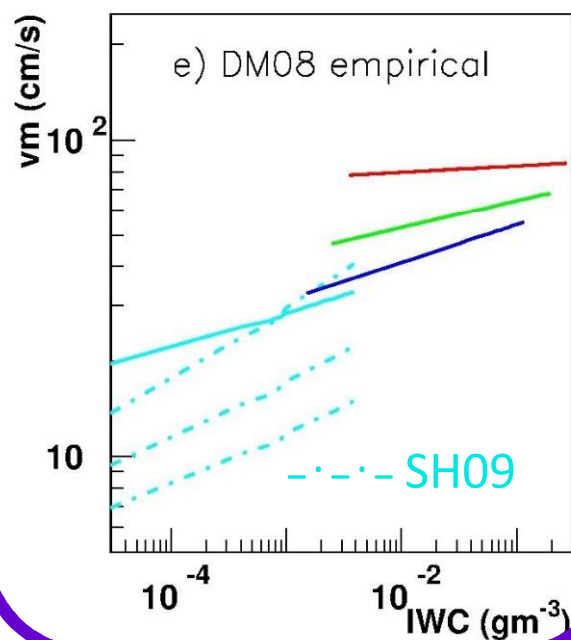
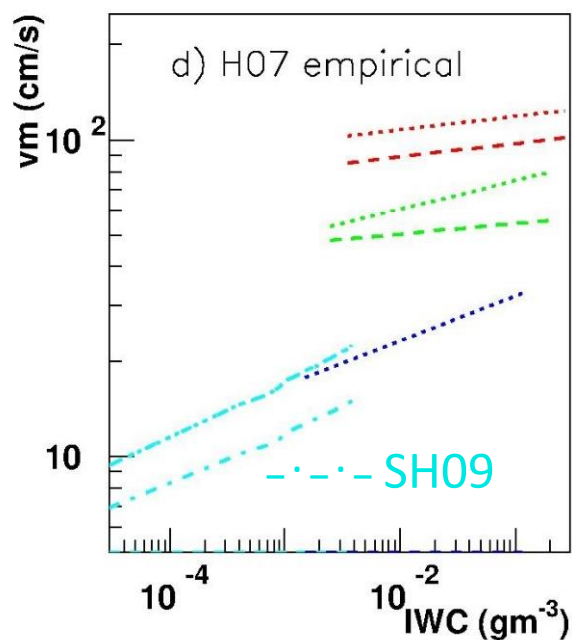
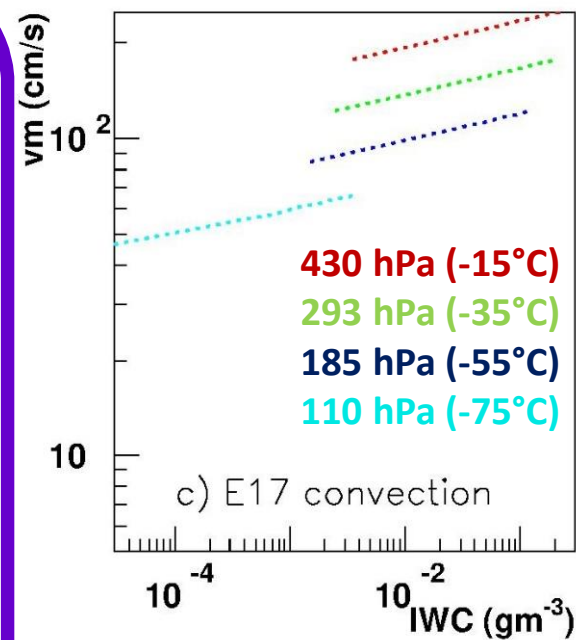
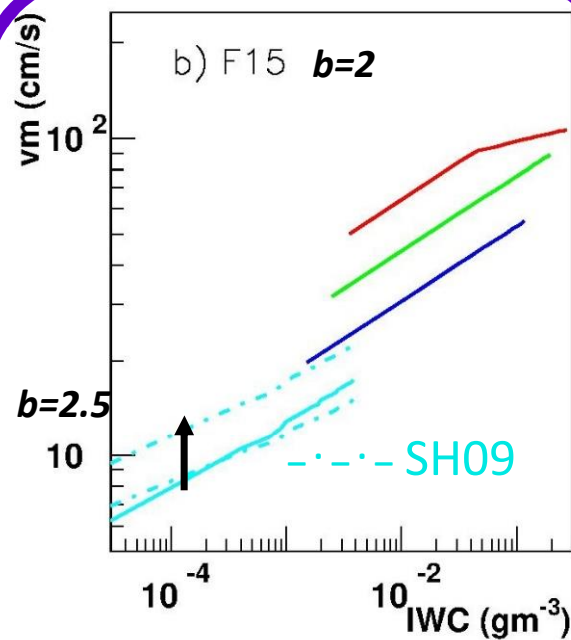
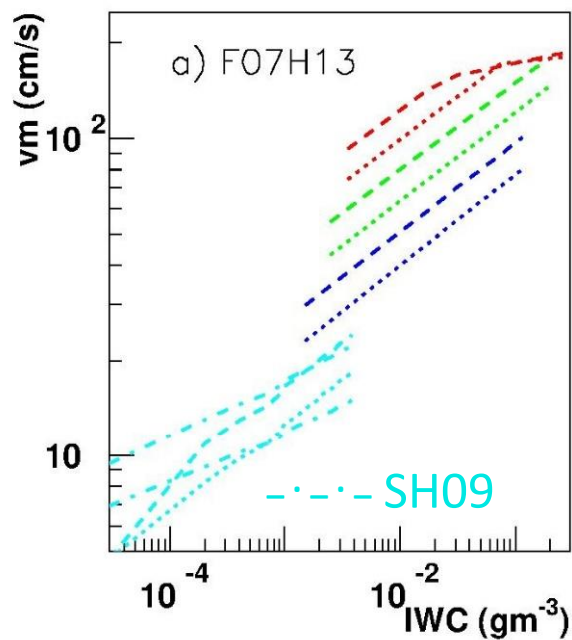


vm increases with IWC & T

- **Mitchell et al. 2011 (M11):** 3 recent field campaigns
young anvil cirrus, aged anvil cirrus, in situ cirrus, Arctic cirrus
similar behaviour; except Arctic cirrus : v_m not dependent on IWC
TC4 (Costa Rica, Jul-Aug 2007), NAMMA (African Monsoon, 2006) , ISDAC (Arctic, Apr 2009)
- **Elsaesser et al. 2017 (E17): convective outflow** from 4 field campaigns
TC4, NAMMA, MC3E (20 May 2011), SPARTICUS (Jan-Jun 2010) -> GISS GCM

Schmitt & Heymsfield 2009 (SH09): 2 field campaigns ($-86^\circ\text{C} - -56^\circ\text{C}$) $v_m = f(IWC)$

Synthesis : $v_m = f(T, IWC)$



— all convective outflow ---- synoptic cirrus

$v_m - D_e$ Strategies for LMDZ GCM

➤ $v_m = f(\text{IWC}, T)$ of DM08 & SH09

$D_{\text{eff}} = f(v_m)$ of H03 (mean between synoptic & anvil cirrus)

empirical v_m & $D_e = f(v_m)$

➤ $v_m = \text{F07 PSD momentum \& F15 A-B couples for ice / snow}$

$D_{\text{eff}} = f(v_m)$ of H03 (mean between synoptic & anvil cirrus)

PSDM v_m & $D_e = f(v_m)$

or

$D_m = \text{F07 PSD momentum}$

$D_{\text{eff}} = 0.17 \times D_m$ (assumed aggregates, *fitted to $D_{\text{eff}} - v_m$, Baran et al. 2016*)

PSDM v_m, D_m & $D_e = f(D_m)$

Next step: use for radiative transfer directly

single scattering property (SSP) parameterization $f(\text{IWC}, T)$ of Baran et al. 2016

(same PSDs as in F07)